



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/485,153	02/04/2000	OSAMU YOKOYAMA	105026	1568

25944 7590 05/23/2002

OLIFF & BERRIDGE, PLC  
P.O. BOX 19928  
ALEXANDRIA, VA 22320

EXAMINER

CHOWDHURY, TARIFUR RASHID

ART UNIT	PAPER NUMBER
----------	--------------

2871

DATE MAILED: 05/23/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/485,153

Applicant(s)

YOKOYAMA ET AL.

Examiner

Tarifur R Chowdhury

Art Unit

2871

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 29 March 2002.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4,6,7,9-11,13-16 and 19-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4,7,9-11,13-16 and 19-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 13,17.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

***Continued Prosecution Application***

1. The request filed on 03/29/02 for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) based on parent Application No. 09/485,153 is acceptable and a CPA has been established. An action on the CPA follows.

***Claim Objections***

2. Claim 13 is objected to because of the following informalities: Claim 13 depends from the canceled claim 12. Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

**5. Claims 1-3, 9, 13-16 and 20-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kenji et al (hereinafter Kenji), JP 08-140107 (provided by the applicant) in view of Booth et al., (Booth), USPAT 5,973,833.**

6. Kenji discloses in the abstract and shows in figure 1, a projection type image display device, comprising:

- a first light source (11) for emitting light of a first color (red);
- a second light source (12) for emitting light of a second color (green); and
- a third light source (13) for emitting light of a third color (blue);
- a color synthesizing optical system (21, 22, 23) for synthesizing light from the first light source (11), light from the second light source (12) and light from the third light source (13).

Kenji differs from the claimed invention because he does not explicitly disclose the polarization converter element for aligning an oscillational direction of light irradiated from the light sources toward the color synthesizing optical system.

Booth discloses a polarization converter including a reflective polarizer and a quarter wave retarder (col. 4, lines 53-56). Booth further discloses that polarization converter significantly reduces the loss or absorption of light that normally occurs in LCD projector and thus increases brightness output (col. 7, lines 29-35).

Booth is evidence that ordinary workers in the art would find a reason, suggestion or motivation for employing a polarization converter element .

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the device of Kenji such that introduce

polarization converter so that the brightness output is increased, as per the teachings of Booth.

Further, it is known that when a polarization converter is formed of a reflective polarizer and a quarter wave retarder it will inherently align an oscillational direction of light irradiated from a light source.

Accordingly, claims 1, 2 and 13 would have been obvious.

As to claim 3, Kenji shows in figure 9 that a dichroic prism is used as a color synthesizing optical system.

As to claims 9 and 14, using flat-panel fluorescent light sources or flat-panel electroluminescent elements light sources are well known in the art and thus would have been obvious to optimize device performance.

As to claims 15 and 16, it is common that electroluminescent elements have organic thin films as light emitting layers and electroluminescent elements comprising optical resonators are well known in the art and thus would have been obvious to optimize device performance.

As to claim 20, it is clear from the figures as well as the disclosure that the first, second and third light sources repeatedly light in order.

As to claim 21, Kenji further shows in figure 1 that light from the light source is modulated in the light modulating element and light so modulated is magnified by a projection lens and displayed.

As to claim 24, employing color filters to obtain a color display is well known in the art and thus would have been obvious.

As to claim 25, using a reflection type light modulating element to obtain a reflective display is well known in the art and thus would have been obvious.

As to claim 26, Kenji discloses in the abstract that the light modulating element forms, with time division, a first color component image, a second color component image, and a third color component image. Further the display device of Kenji display a color image by sequential display of the first, second and third color components in the light modulating element and by sequentially lighting of the first, second and third light sources corresponding to the sequential displays.

As to claims 22 and 27, Kenji also shows in figure 9 that the light modulating element is a transmissive type liquid crystal element, the light source is deployed opposite one face of the liquid crystal element and images formed on the liquid crystal element are magnified by the projection lens and displayed.

As to claim 23 and 28, viewing magnified virtual images of images displayed is well within the level of ordinary skill in the art and thus would have been obvious.

**7. Claims 1-4, 6, 7, 13, 19, 21 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shoichi et al (hereinafter Shoichi), JP 41-0123512 (provided by the applicant) in view of Booth.**

8. Shoichi discloses in the abstract and shows in figure 2, a light source for a color liquid crystal display device, comprising:

- a first light source (21R) for emitting light of a first color;
- a second light source (21G) for emitting light of a second color;

- a third light source (21B) for emitting light of a third color; characterized in that:

light from the first, second and third light source are synthesized by a color synthesizing optical system (23a).

Shoichi differs from the claimed invention because he does not explicitly disclose the polarization converter element for aligning an oscillational direction of light irradiated from the light sources toward the color synthesizing optical system.

Booth discloses a polarization converter including a reflective polarizer and a quarter wave retarder (col. 4, lines 53-56). Booth further discloses that polarization converter significantly reduces the loss or absorption of light that normally occurs in LCD projector and thus increases brightness output (col. 7, lines 29-35).

Booth is evidence that ordinary workers in the art would find a reason, suggestion or motivation for employing a polarization converter element .

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the device of Shoichi such that introduce polarization converter so that the brightness output is increased, as per the teachings of Booth.

Further, it is known that when a polarization converter is formed of a reflective polarizer and a quarter wave retarder it will inherently align an oscillational direction of light irradiated from a light source.

Accordingly, claims 1, 2, 13 and 19 would have been obvious.

As to claim 3, Shoichi shows in figure 5 that a dichroic prism is used as a color synthesizing optical system.

As to claim 4, Shoichi discloses in the abstract that plurality of light emitting diodes are deployed two-dimensionally in the first, second and third light sources, respectively.

As to claims 6 and 7, Shoichi shows in figures 2, 3 and 6 that lens array element are deployed between the first, second and third light sources and the color synthesizing optical system.

As to claims 21 and 22, Shoichi shows in figure 6 the display device having a transmissive type liquid crystal element as a light modulating element, the light source device being deployed opposite one face of the liquid crystal element and light from the light source is modulated in the light modulating element and is magnified by a projection lens and displayed.

**9. Claims 10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kenji in view of Booth as applied to claims 1-3, 9, 13-16 and 20-28 above and further in view of Miyashita et al (hereinafter Miyashita), PN 6,011,602.**

10. Kenji does not explicitly disclose the claimed prism array. However, as taught by Miyashita by employing a prism array between the light source and the optical system, it is possible to better direct the light. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ a prism array between the light source and the optical system of Kenji in order to direct the light better.



Accordingly, claims 10 and 11 would have been obvious.

***Response to Arguments***

11. Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

Applicant's arguments filed 02/12/02 have been fully considered but they are not persuasive.

In response to applicant's argument that Kenji and Shoichi do not disclose all the limitations as claimed, it is respectfully pointed out to applicant that here applicant is arguing the patentability of the claims by individually addressing the references, however, the rejection is made under 35 U.S.C. 103, not 35 U.S.C 102.

***Conclusion***

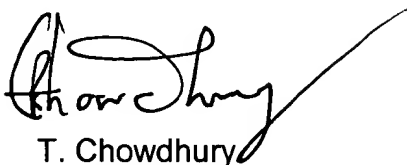
12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tarifur R Chowdhury whose telephone number is (703) 308-4115. The examiner can normally be reached on M-Th (6:30-5:00) Friday Off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William L Sikes can be reached on (703) 305-4842. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-7724 for regular communications and (703) 308-7724 for After Final communications.

Art Unit: 2871

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1782.

TRC  
October 24, 2001



T. Chowdhury  
Patent Examiner  
Technology Center 2800